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All AHA program and event deadlines must be met as specified, whether submitted by hand delivery, postal service, fax, e-mail, internet or other electronic means.



Definitions:

1. The term "Nominated Sire" within these rules refers to the below Nominated Sire categories (unless specified).
 - a. Arabian Nominated Sire
 - b. Non-Arabian Nominated Sire
2. The term "Nominated Dam" within these rules refers to the below Nominated Dam categories (unless specified).
 - a. Arabian Nominated Dam
 - b. Anglo-Arabian Nominated Dam
 - c. Half-Arabian/Non-Arabian Nominated Dam
3. The term "Breeder/Nominator" within these rules refers to the person(s) or entity who nominated the Breeding Entry.
4. The term "Breeders Incentive" refers to the payback awarded to the Sire Payback (Sire Payback only pertains to Arabian Nominated Sires enrolled prior to December 31, 2009) Program and the Breeder/Nominator Payback Program.
5. The term "Trustee" refers to the Trustee of the Arabian Breeders Sweepstakes.
6. The term "ABSC" refers to the Arabian Breeders Sweepstakes Commission.

MEMBERSHIP

In order to have results count towards AHA programs and/or qualifications, membership requirements must be met. (Refer to Chapter 7)

An individual or Business Membership is required for enrollment into the Breeders Sweepstakes Program. It is also required for receipt of prize money for the Breeder Nominator and Nominated Sire payback.

SWP 101. INTRODUCTION

The Arabian Breeders Sweepstakes (Sweepstakes) (ABS) was introduced by the International Arabian Horse Association to promote the Arabian horse, elevate its stature in the horse industry, provide a financial incentive for the breeding of

Arabian horses, and broaden the income base of AHA. These Rules and Regulations define the terms and conditions under which persons and horses may participate in the Sweepstakes, pursuant to which AHA will award Sweepstakes prize money.

SWP 102. SWEEPSTAKES TRUST

1. A Trust was established by IAHA to manage and protect all funds generated by the Sweepstakes. The Fifth Amended Trust agreement is available for review at the AHA office. The Trust generally provides that:
 - a. All monies received as Sweepstakes entry fees shall be placed in the Trust.
 - b. The principal (entry fees) held in Trust shall be used solely to provide prize money for the Sweepstakes.
 - c. All interest and other income earned by the Trust shall be used to pay administrative, promotional, and other related expenses of the Sweepstakes. Starting in 2010, 100 % of the net annual interest income will be retained by the Sweepstakes fund. (BOD 5/05)
 - d. An audit of all Trust accounts and transactions will be conducted on an annual basis.
2. No more than one-fifth (1/5) of the existing Trust principal at the beginning of a calendar year shall be used as Sweepstakes prize money during that calendar year. Since the longevity and long-term success of the Sweepstakes is ultimately dependent upon a number of factors beyond the control of the Arabian Breeders Sweepstakes Trustee, no representations or guaranties of any kind can be made concerning the anticipated life of the Sweepstakes. The Trustee shall have no responsibility or liability to pay out any prize money except from the principal held in the Sweepstakes Trust.
3. Special Meetings: Special meetings of the Trustee may be held at any time and place on the call of the President or of a majority of the Trustee, in either case upon ten (10) days' notice by first-class prepaid mail, or five (5) days' notice delivered personally or by telephone or electronic mail. Such notice shall state the time and place of the meeting and shall be deemed given at the time when personally delivered, deposited in the mail, sent by electronic mail or orally transmitted to recipient via telephone. (BOD 8/09)
4. The Trustees shall be made up of seven (7) individuals: the current AHA President, the current chair of the Sweepstakes Commission, one other member of the Sweepstakes Commission (elected by the Sweepstakes Commissioners) and four (4) members elected by the AHA Board of Directors each year at the annual convention. (BOD 3/18) These four (4) members must be current or past members of the AHA Board of Directors and may not be concurrently serving on the Sweepstakes Commission. (BOD 8/18) The Chair of the Trust will be appointed by the AHA President from one of the four (4) elected members. The Trustees:
 - a. Will meet a minimum of six (6) times a year with an in person meeting at the annual convention and the others via conference call.
 - b. Will be responsible for monitoring the Trust finances through communication with the Sweepstakes Commissioners, the AHA Treasurer and the AHA Controller.
 - c. If at any time, the operating expenses are not covered by the interest income, the Sweepstakes Trustees will be responsible to raise the additional funding needed either through approving use of funds from the Trust or through outside sources.
 - d. The Sweepstakes Commissioners will bring to the Sweepstakes Trustees any changes they wish to propose which will include a completed financial study related directly to the proposed changes.
 - e. Will report to the AHA BOD at each BOD meeting of any additions/changes to the current rules in the AHA handbook (Sweepstakes Division).
 - f. Any AHA handbook changes will be noted with the correct abbreviation for the Sweepstakes Trustees and the date of the meeting where the addition(s)/change(s) were made.

SWP 103. GENERAL ENTRY REQUIREMENTS

In order to participate in the Sweepstakes program each person must:

1. Be the recorded owner of the Arabian, Half-Arabian, or Anglo-Arabian in the AHA Registries, the Canadian Arabian Horse Registry or the Canadian Partbred Arabian Register or registered in a current recognized source registry (International Studbooks). For Nominated Sire entries that are not registered with AHA or CAHR, you must be the recorded owner for the Arabian in a source registry. See REG 101 for the definition of source registry.
2. For Non-Arabian Nominated Sires/Dams, you must be the recorded owner of the horse and provide a copy of the Certificate of Registration indicating ownership.
3. For Breeding Entries, you must list the ownership on the entry form as you intend to register the foal.
4. Submit a completed Official Sweepstakes Entry Form or complete an entry online via the AHA website with all required documentation to the AHA office on or before the designated deadline.
5. All participants must be a current member of AHA, See SWP 117 for Prize Money requirements.
6. Pay all required entry fees in full at the time of entry or execute a Sweepstakes Deferred Billing Agreement with the required down payment in accordance with SWP 107 and be current on all required payments on the Deferred Billing Agreement.
7. If all entry requirements are not received at the time of enrollment, the owner will be notified identifying the additional requirements necessary in order to complete the entry. Two notices will be sent: one notice when the entry is being processed and the second notice 30 days after the first notice. If the entry requirements are not submitted within 30 days after the second notification, the entry will be dropped, and any payment submitted will be forfeited. (Refer to SWP 106.7) Upon re-submission, any new requirements and/or fees will apply.

SWP 104. CERTIFICATE OF REGISTRATION REQUIREMENTS FOR NON-ARABIAN NOMINATED SIRES/DAMS AND HORSES REGISTERED WITH CAHR OR CPAR

Photocopy of the horse's Certificate of Registration must accompany the completed entry form. If the Certificate of Registration is unavailable at the time the entry form is sent or delivered to AHA, a photocopy must be provided to AHA as soon as possible. For Canadian registered Breeding Entries, a photocopy of the Certificate of Registration must be submitted after the foal is registered. If the ownership of the original nomination does not match the current Ownership of the foal, a transfer fee will apply. A Sweepstakes Certificate (for the Non-Arabian Nominated Sire/Dam and Canadian registered horses) entitling a horse to participate in the Sweepstakes will be issued only when all required documentation has been received by AHA. AHA registered horses will have a Sweepstakes symbol and classification on their Certificate of Registration only when all required documentation has been received by AHA.

SWP 105. ENTRY CLASSIFICATIONS

1. Eligible horses may be enrolled in the Sweepstakes in the following classifications:
 - a. Breeding Entry
 - b. Nominated Sire
 - c. Nominated Dam
 - d. Non-Arabian Nominated Sire
 - e. Anglo-Arabian Nominated Dam
 - f. Half-Arabian/Non-Arabian Nominated Dam

SPECIFIC PROVISIONS

2. A stallion or mare registered with AHA may be enrolled in more than one classification (i.e., as a Breeding Entry and Nominated Sire or Nominated Dam), provided that all fees are paid and all entry requirements are fulfilled for each classification. A gelding may only be enrolled in a single entry classification (i.e., as an Original or Breeding Entry).

SWP 106. FEES AND DEADLINES

1. Breeding Entry: \$400
An unborn foal is one sired by a Sweepstakes Nominated Sire category entry, or out of a Nominated Dam category entry. All registration eligibility

requirements must be met as outlined in REG 101-105 and/or 106. (Refer to SWP 106.3-106.6) It is the responsibility of the original nominator to verify that the foal is eligible for registration. Breeder/Nominator is nominating the in-utero foal at their own risk as to the eligibility for registration - acceptance of the nomination in no way guarantees that the resulting foal will be approved for registration and is non-refundable. When multiple embryo transfers (by the same sire/dam/owner/foaling year) are submitted by one nominator, the first registration application(s) received for that particular cross by AHA will be linked to the in-utero foal record(s). In the event that the nominator makes application for registration at the exact same time for more embryo transfer foals than he/she has in-utero nominations available, then the nominator will be contacted for an official order in which he/she wishes the foals to be registered. (BOD 11/12) All breeding entries must be enrolled by **December 31** in the year in which they are conceived. Every fourth Breeding Entry enrolled in the same year by the same owner may be enrolled at one half price. The discount applies to each submission of at least four entries submitted at the same time. Late enrollments will be accepted postmarked/electronic transaction dated **January 1 through February 15** the year the foal is due with an additional \$100 late fee. Proof that the mare has not foaled prior to February 15th may be required along with the entry form. This entry is eligible to win Sweepstakes Prize Money in any Sweepstakes-designated payback classes for the life of that horse. This entry category allows for eligibility to compete in the Regional Yearling Sweepstakes Breeding classes. (Refer to SWP 109.16 for Deceased Foal Credit.)

2. Original Entry: **(Note: this category has been permanently closed as of June 1, 2011, Horses previously enrolled as Original Entries will continue to be awarded Sweepstakes prize money) (BOD 2/11)**

Eligible to win Prize Money in any Sweepstakes-designated payback classes for that horse's life.

3. Nominated Sire **Categories:** \$2,000. (BOD 8/18) (\$1,000 if colt or stallion is currently enrolled in Sweepstakes as a Breeding Entry.)
 - a. Arabian Nominated Sire - Open to any Arabian Stallion (living or deceased) registered with AHA, the Canadian Arabian Horse Registry or registered in a currently recognized source registry as defined in REG 105.1. (BOD 8/15) Foals must be eligible to be registered with AHA or CAHR.
 - b. Non-Arabian Nominated Sire - Open to any Non-Arabian Stallion (living or deceased). Enrollment makes all foals sired by the stallion and out of a registered Arabian, Anglo-Arabian or Thoroughbred mare, eligible to be enrolled in the Sweepstakes as Breeding Entries as long as registration requirements are met in REG 102 and 103 for Half-Arabian and Anglo-Arabian offspring.
 - c. Payment of the one-time entry fee makes all foals sired by the enrolled stallion eligible to be entered in the Sweepstakes as Breeding Entries.
 - d. Enrollment as a Nominated Sire does not make the stallion eligible to win prize money in Sweepstakes-designated payback.
 - e. Late enrollments will be accepted postmarked **January 1 through February 15** of the year the foal is born with an additional late fee of \$100.
4. Nominated Dam **Categories:** \$1,000.
 - a. Arabian Nominated Dam - Open to any living Arabian mare registered with AHR or CAHR. Must be bred to either a non-Arabian stallion for the resulting Half-Arabian in utero foal to be eligible as a Breeding Entry or to an Arabian Stallion or Nominated Sire to have the resulting Arabian in utero foal to be eligible as a Breeding Entry.
 - b. Half-Arabian/Non-Arabian Nominated Dam - Open to any living Half-Arabian mare registered with AHR or CPAR or Non-Arabian mare whose breeding results in a Half-Arabian foal. Must be bred to either an Arabian Stallion or a purebred Nominated Sire to have the resulting Half-Arabian in utero foal to be eligible as a Breeding Entry. (BOD 8/18)
 - c. Anglo-Arabian Nominated Dam - Open to any living Anglo-Arabian Mare registered with AHA or CPAR. Must be bred to a Nominated or non-nominated Thoroughbred or Anglo-Arabian Sire, or Nominated Sire for the resulting in utero foal to be eligible as a Breeding Entry. In

addition the resulting foal must meet the registration requirements for an Anglo-Arabian. (Refer to REG 103)

Payment of the one-time enrollment fee makes all foals resulting from the above breeding combinations eligible to be enrolled in the Sweepstakes as Breeding Entries. Mare must be nominated by **December 31** of the year of conception. Late enrollments will be accepted postmarked from **January 1 through February 15** of the year the foal is born with an additional late fee of \$100. (BOD 10/14) Enrollment as a Nominated Dam does not make the mare eligible to win prize money in Sweepstakes-designated payback events.

GENERAL

5. All fees must be paid in U.S. dollars. Refer to current fee schedule in AHA directory. All fees are non-transferable except for the deceased foal credit. See Breeding Entry.
6. All fees are non-refundable (Refer to SWP 109)
7. Payment of entry fees must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in SWP 106 in order to prevent the fee from increasing to the next higher fee level. If payment of entry fees is made pursuant to the Deferred Billing Agreement set forth in SWP 107 of these Rules and Regulations, the down payment and fully executed Deferred Billing Agreement must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in SWP 106 in order to prevent the fee from increasing to the next higher fee level.
8. In order to compete for Sweepstakes Prize Money, all entry requirements as outlined in SWP 103 and 104 must be received by AHA prior to participation where Sweepstakes prize money is anticipated to be won. Any prize money won will be withheld until all entry requirements have been met. If the entry requirements are not completed in a satisfactory manner, SWP 103.4 will apply and prize money won will be forfeited.
9. Payment of the total fee required to enroll a horse in an entry classification enrolls it in that entry classification for its lifetime. If payment is made on a Deferred Billing Agreement, all payments must be satisfied before the Sweepstakes logo will be printed on the horse's Certificate of Registration. If a Certificate of Registration (for horses registered with AHA) has already been issued, a replacement Certificate will be reprinted with the Sweepstakes logo upon return of the original Certificate of Registration and payment of the replacement fee.
10. It is the responsibility of the Breeder/Nominator of a Breeding Entry to verify that the unborn foal is by a Nominated Sire or out of a Nominated Dam. However, Breeding Entry fees will be refunded (less a processing fee) if, after making payment, an owner learns that the one of the parents was not on ABS's current list of Nominated Sires or Nominated Dams at the time payment was made.
 - a. If the Sweepstakes nomination of a Nominated Sire is canceled for failure to complete the payments agreed to be made under SWP 107, Deferred Billing Agreement, the Nominated Sire shall be removed from the ABS's current list of Nominated Sires but the offspring of that stallion conceived prior to the publication date of the fact that the stallion has been removed shall be eligible for enrollment as Breeding Entries unless otherwise stated.
 - b. If the Sweepstakes nomination of a horse in SWP 105.c., d., e. f. is canceled because its registration has been canceled by the registry in which it is registered, horse shall be removed from the ABS's current list of eligible Sires or Dams, and the offspring of that stallion or mare shall not be eligible for enrollment as Breeding Entries in the Sweepstakes Program. Sweepstakes enrollments for living offspring of such a stallion or mare enrolled as Breeding Entries in the Sweepstakes Program shall be canceled. Breeding Entry fees will not be refunded if the Sweepstakes enrollment of the Breeding Entry is canceled as a result of the cancellation of the Sweepstakes nomination of its Nominated Sires or Nominated Dams because its registration has been canceled by the registry in which it is registered. However, if the Nominated Sire's or Dam's Sweepstakes nomination is subsequently reactivated, and the stallion or mare is reinstated on ABS's list of

Nominated Sires or Dams, the offspring of the stallion or mare shall be eligible for re-enrollment and enrollment as Breeding Entries.

11. The ABS list of Nominated Sires/Dams will be designated with a symbol indicating that the mare or stallion has tested clear for SCID (if applicable) if your mare or stallion tests SCID Clear and proper documentation is received by the AHA office. (ABS 2/98)

SWP 107. DEFERRED BILLING AGREEMENT

1. Payment of the entry fees due for Breeding Entry, Nominated Sire or Nominated Dam may be made pursuant to the following Deferred Billing Agreement:
 - a. A properly executed Deferred Billing Agreement must be submitted with an Official Sweepstakes Entry Form along with the initial payment of all entry fees (total of all entries plus the 10% administrative fee) divided by the number of payments. Deferred Billing Agreement must be executed in the name of the recorded owner (**Exception:** Breeding Entries). If the horse is jointly owned, all owners of the horse must sign the Deferred Billing Agreement. If the owner of the horse is under 18 years of age or not of full legal age in the state in which they reside, a parent or guardian must sign the Deferred Billing Agreement and be a current member of AHA. If the above requirements are not submitted, SWP 103.4 will apply.
 - b. Payments must be made in accordance with the terms of the properly executed Deferred Billing Agreement.
 - c. If full and timely payment is not made or if a default occurs pursuant to the terms of the Deferred Billing Agreement, all payments made, and prize money, sire payback and Breeder/Nominator money won by the horse shall be forfeited and the entry will be dropped from the program. Terms state that if payment is not received within 60 days of the billing date the entry will be dropped from the program and all monies paid will be forfeited. The dropped applicant(s) is not entitled to submit another entry on a deferred billing agreement for a period of 5 (five) years.
 - d. If the entry fees for a horse are being paid on the Deferred Billing Agreement, the payments are current, and all other entry requirements have been met, that horse is eligible to compete for Sweepstakes prize money
 - e. Sweepstakes Deferred Billing Agreements will be non-transferable and non-assignable unless there is an existing Deferred Billing Agreement covering a number of horses and a new or divided ownership is known to the AHA, the obligation relating to the new ownership may be assumed by the new owner as long as all payments are current. In this event, the existing Deferred Billing Agreement may be paid in full or new Deferred Billing Agreement may be set up reflecting the change to the original Deferred Billing Agreement. A non-refundable office fee will be charged to set up each new Deferred Billing Agreement.
 - f. ABSC reserves the right to eliminate and/or change this Deferred Billing Agreement at any time.
 - g. Late fees may not be financed on the Deferred Billing Agreement and must be paid in full at the time of enrollment. (Refer to SWP 106)
 - h. Credits due to castration or the death of an enrolled horse will be applied to a deferred billing agreement when all requirements are met. Credits cannot be used as a down payment but will be evenly distributed towards the number of payments indicated and granted on the deferred billing agreement. The administrative fee is determined on the original amount due on the entry.

NOMINATED SIRE/ DAM

2. If a Nominated Sire on Deferred Billing Agreement is gelded, the balance owing must be satisfied before any consideration of a credit will be made. (Refer to SWP 109.)
3. If a default occurs pursuant to the terms of the Deferred Billing Agreement for a Nominated Sire or Nominated Dam, that horse's name shall be removed from the current list of Nominated Sires or Nominated Dams and the horse's and owner's name shall be published as a deletion from the current Nominated Sire or Nominated Dam list; the horse's Sweepstakes Certificate shall not be issued or the Sweepstakes enrollment status shall not be noted on the Registration Certificate; and the owner shall be liable to ABS for an

amount equal to all prize money paid and due, or which shall become due, to any horses enrolled in the Sweepstakes which were sired or produced by the horse.

SWP 108. CERTIFICATE AND AHA REGISTRATION PAPERS

1. The person enrolling a horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has been enrolled (original Certificate of Registration must be submitted to AHA with a replacement Certificate fee along with a written request). A horse shall not be deemed enrolled in the Sweepstakes and shall not be entitled to receive any prize money or sire payback money until all requirements are met under SWP 103 and 104. A horse's enrollment in the Sweepstakes shall be deemed to be pending until receipt of full payment of all amounts due in accordance with the terms of the Deferred Billing Agreement.
2. If the payment of entry fees is made pursuant to Deferred Billing Agreement provided for in SWP 107. of these Rules and Regulations, the person enrolling the horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has been enrolled (original Certificate of Registration must be submitted to AHA with a replacement Certificate fee along with a written request) upon receipt of all amounts due in accordance with the terms of the Deferred Billing Agreement. A horse whose enrollment is pending may participate in the Sweepstakes, except as otherwise provided in these rules.
3. In the event that an enrolled horse is sold that is registered with AHA, the Sweepstakes enrollment will automatically be transferred to the new Recorded Owner of the horse upon transfer of the Certificate of Registration (providing the enrollment is currently not a Deferred Billing Agreement). In the event that an enrolled horse is sold that is registered with CAHR or CPAR, or is enrolled as a Non-Arabian Nominated Sire (except Half-Arabian Stallions), the Sweepstakes Certificate may be transferred to the new owner or purchaser. The transfer will be made when the new owner or purchaser submits a copy of the Certificate of Registration verifying the new ownership. Once the Sweepstakes transfer has been completed and a new Sweepstakes Certificate has been sent to the new owner, all Sweepstakes Certificates previously issued shall be considered null and void. A transfer fee per entry is applicable when ownership of an enrolled horse changes. Prize money, if applicable, will be paid to the recorded owner of the program at the time of the event.

SWP 109. STERILITY, CASTRATION, DEATH OF AN ENROLLED HORSE

STERILITY

1. If a Nominated Sire is proven to be sterile within 12 months of the date of Sweepstakes enrollment as a Nominated Sire, and proper documentation from a veterinarian, including castration certificate, is submitted to AHA substantiating that no foals have been conceived from a breeding by this stallion, the Nominated Sire fee paid for that stallion will be issued in the form of a voucher and the Nominated Sire enrollment will be canceled. All rights and privileges as a Nominated Sire will cease.

CASTRATION

2. When a Nominated Sire is gelded, the amount paid as a Nominated Sire will be provided as a credit voucher to the Nominated Sire owner request. The credit amount shall be reduced by 25% for each year or part thereof that the Nominated Sire was on the Arabian Breeders Sweepstakes Nominated Sire list. If the horse is registered by AHA, the castration must be officially recorded before any consideration of a credit is made. For horses not registered with AHA, a copy of the Certificate of Registration stating "gelding" must be submitted. Once a Nominated Sire is gelded and a credit is applied, no Sire Payback will be paid (Sire Payback only pertains to Arabian Nominated Sires enrolled prior to December 31, 2009). Deferred Billing obligations must be paid in full before a Nominated Sire Entry credit can be issued in the form of a voucher.
3. In the event a Nominated Sire is gelded and was in good standing at the time of his castration, offspring of that stallion may be enrolled in all eligible Sweepstakes categories as if the sire were still a breeding stallion.

DEATH

4. The recorded owner of any horse registered with AHA that is enrolled in the Sweepstakes must notify the AHA office in the event of the horse's death by sending to the AHA office a certificate of death from an accredited veterinarian. For horses registered with CAHR or CPAR, a photocopy of the horse's canceled Certificate of Registration, and the horse's original Sweepstakes Certificate must be submitted.
5. If a Nominated Sire dies within 12 months of the date of Sweepstakes enrollment, the Nominated Sire entry fee received for that horse will be provided as a credit voucher to the Sweepstakes nominator if requested in writing within 90 days of the date of the horse's death. If the Nominated Sire entry fees are credited, the unborn offspring of such a horse may be enrolled in the Sweepstakes.
6. If a Nominated Dam dies within 12 months of the date of Sweepstakes enrollment and has not produced a live foal prior to her death, the Nominated Dam fee paid will be issued in the form of a voucher if requested in writing within 90 days of the date of the horse's death.
7. In the event that a Nominated Sire or Nominated Dam dies within 12 months after it is enrolled in the Sweepstakes as a Nominated Sire or Nominated Dam, the Nominated Sire or Nominated Dam Entry fee being paid on a Deferred Billing Agreement, and there is an outstanding balance remaining on the entry fee on the Deferred Billing Agreement at the time of the horse's death, the portion of the entry fee that has been paid prior to the date of the horse's death shall be issued in the form of a voucher. A credit for the Nominated Sire or Nominated Dam will only be considered if the sire or mare has not produced a live foal prior to their death. In such event, the unborn offspring of the horse may be enrolled in the Sweepstakes as a Breeding Entry.
8. In the event that a Nominated Sire dies after 12 months in which it was enrolled in the Sweepstakes, the entry fee for that sire shall not be credited. The unborn offspring of the deceased sire may be enrolled in the Sweepstakes as a Breeding Entry.

LIVE FOAL GUARANTEE

9. In the event that a breeding between a Nominated Sire or a Nominated Dam does not result in a live foal (i.e., abortion, death of mare, etc.), the fee paid may be transferred to another unborn foal in any following year, provided that a death certificate for the foal or mare, signed by an accredited veterinarian, is submitted to the AHA office. If applicable, a photocopy of the dead mare's canceled Certificate of Registration should be provided to the AHA office. A new Breeders Sweepstakes Entry Form must be completed and returned with the Dead Foal Credit voucher when you nominate a future unborn foal using the Dead Foal Credit as payment. (Refer to SWP 106) Under the live foal guarantee, the \$400 Breeding Entry fee may be transferred for any following year to a foal of any mare under the same ownership, providing a death certificate signed by a veterinarian is submitted. For purposes of this provision, a live foal is any foal that stands and nurses without assistance 30 days after parturition. A \$200 Breeding Entry fee credit may be issued if the death of the foal occurs more than 30 days after parturition but before **December 31** of the foaling year. If the Breeding Entry was submitted on a Deferred Billing Agreement either the balance owing for that Agreement must be satisfied before any consideration of a credit will be made, or the balance owing for that entry will be forgiven and the money paid for that entry will be forfeited.

SWP 110. AGE DETERMINATION

For purposes of these Rules and Regulations, a horse's year of age shall be determined by the USEF rules.

SWP 111. JUDGING

The judging of classes at the National, Regional and Local Shows shall be done in accordance with the rules set forth in the United States Equestrian Federation (USEF) Rule Book, the Equine Canada (EC) Rule Book, and the AHA Handbook as those rule books may be amended from time to time.

SWP 112. EXAMINATION OF ENTRIES AND VERIFICATION OF IDENTITIES

1. By enrolling a horse in the Sweepstakes, the owner grants ABSC the right to have the enrolled horse examined or inspected for any purpose, including but not limited to the purpose of verifying its identity, by AHA officials, staff, or any other person that ABSC request to make such examination or inspection.
2. In the event that doubt should arise regarding the identity of any horse enrolled in the Sweepstakes, ABSC shall make every effort to verify that horse's identity, including but not limited to blood typing or DNA testing. ABSC shall have the right to withhold any Sweepstakes prize money or Breeders Incentive money that would otherwise be due and payable pending the outcome of an investigation to verify a horse's identity. In the event the horse's identity cannot be satisfactorily verified, the horse's Sweepstakes enrollment shall be canceled, and no further prize money or Breeders Incentive money shall be paid to the party whose name appears on the Certificate of Registration.

In the event the horse's identity cannot be satisfactorily verified and the horse is enrolled as a Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, the horse's Sweepstakes Certificate shall be cancelled and no further prize money shall be paid to the party whose name appears on the Sweepstakes Certificate. Horses registered with AHA, no further prize money or Breeders Incentive money shall be paid to the party whose name appears on the Certificate of Registration.

3. The AHA office will advise the recorded owner in writing of any proposal to cancel the Sweepstakes enrollment of a horse and the reasons supporting this proposal.

SWP 113. AHA NOT LIABLE

The AHA, its directors, officers, employees, representatives, agents, members of the ABSC, the Trustee and the Trust will attempt to obtain true and complete information in connection with registration of horses, transfers of Sweepstakes Certificates for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, and all other matters relating to AHA and ABSC activities. Neither the AHA nor its directors, officers, employees, representatives, agents, nor members of the ABSC, the Trustee and the Trust shall be liable in any way, whether in damages or otherwise, in connection with the issuance of any Sweepstakes Certificates for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the transfer of any Sweepstakes Certificates and/or enrollments, for the refusal to issue a Sweepstakes Certificate for Non-Arabian Nominated Sire/Dam (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the refusal to transfer any Sweepstakes Certificate and/or enrollment, for the cancellation of any Sweepstakes Certificate and/or enrollment, or for any other activities engaged in by, or on behalf of, the AHA or the ABSC in connection with the Sweepstakes. Further, the AHA, Trustee or the Trust shall not be liable to pay out any funds for the administration or award of prizes for the Sweepstakes except from the principal and interest of the funds placed in the Trust which has been set up to handle the Sweepstakes funds.

SWP 114. NOTICES

Any and all notices required or permitted under these Rules and Regulations will be deemed given on the date such notice is mailed/electronic transacted to a person's last known address/email as reflected in the AHA records as of the date the notice is mailed/transacted.

SWP 115. DISPUTE RESOLUTION AND AMENDMENTS TO RULES

1. In the event that any dispute or issue should arise regarding the implementation of the Sweepstakes which cannot be resolved solely by reference to these rules or which requires that they be interpreted, the dispute or issue shall be resolved by decision of the ABSC, upon a written petition submitted by an interested party to Sweepstakes at AHA. The petition should not be submitted to an ABS Commissioner(s), as all cases are reviewed on an anonymous basis. All decisions by the ABSC on disputes or issues raised under the provisions of this section shall be consistent with the purpose of the Sweepstakes Trust, which is to preserve and protect the principal of the Trust for use in maintaining the Sweepstakes. Written notice of the decision of the

ABSC stating the basis for the decision shall be sent to the petitioning party. All decisions made by the ABSC will be final.

2. ABSC may recommend changes to these rules with final approval by the Trustee.
3. Recovery of Sweepstakes Prize Money Wrongfully Received. If, in accordance with applicable protest or disciplinary procedures of AHA, USEF, or EC, a determination by any of these entities or an error in reported event results, establishes, expressly or implicitly, that a person or horse was not qualified to participate in the Sweepstakes program and prize money, or Breeders Incentive Payback was received, all such money shall be refunded to AHA. In the event that AHA is required to refer any such matter for collection to an attorney or collection agency, or to institute legal proceedings, AHA shall, in addition to the Sweepstakes prize money, or Breeders Incentive Payback wrongfully received, be entitled to recover from the person receiving said money interest at the rate of 12% per annum compounded annually from the date the money was received, as well as all costs of collection, including reasonable attorney's fees and collection agency fees.

SWP 116. CLASSES

1. On or before **August 30**, of each year, the ABSC shall recommend Sweepstakes classes available for the following calendar year. ABSC may recommend adding or deleting classes and non-show events from any list in effect for the current or any other calendar years.
2. In order for a horse to be eligible to compete for prize money in any designated class or non-show event, a Sweepstakes enrollment must have been made as an Original or Breeding Entry or must be pending as a Breeding Entry and the owner must be a current AHA member.
3. The list of classes and events receiving Sweepstakes prize money will be published annually. (Refer to SWP 118) Any exempt Sweepstakes regional classes added as a TBA/Added class, are not eligible for Sweepstakes prize money. (Refer to COMP 302.4)
4. All shows must denote Sweepstakes classes in their prize list(s). (Refer to SWP 118) Each Sweepstakes class may not be duplicated (held more than once) within an AHA Recognized Show. Sweepstakes classes eligible to be split into multiple age divisions and types may do so as long as they include each age division and type once within their AHA Recognized Show.
5. For classes that have the option to be split refer to SWP 118.
6. All horse show classes shall be conducted in accordance with the applicable USEF or EC Rules and Regulations.
7. Entry fees for Sweepstakes classes must not be greater than entry fees for non-Sweepstakes classes.
8. The ABS Dressage Prospect Incentive Championship will be held at Sport Horse Nationals and is open to Arabian and Half-Arabian/Anglo-Arabian Sweepstakes and non-Sweepstakes horses. Sweepstakes nominated Breeding Entries are the only horses eligible for Sweepstakes payback. This class will be closed to non-Sweepstakes horses in the 2021 competition year. (BOD 8/15) The 50% rule does not apply to this class.
9. A bonus (\$5,000 for Arabians, \$3,500 for Half-Arabians/Anglo-Arabians) will be paid to the Breeder/Nominator of the AEPA Purebred and Half-Arabian/Anglo-Arabian Futurities and the AWPA Purebred and Half-Arabian/Anglo-Arabian Futurities if, and only if, the champion horse in that particular event is also entered as a Breeding Entry in the Arabian Breeders Sweepstakes Program. (BOD 4/15) (BOD 3/17)
10. **Ten** Arabian Breeders Sweepstakes AAO Jackpot events will be held at U.S. Nationals in which each competitor will contribute \$500 to a prize money jackpot, and the Sweepstakes Program will add \$15,000 to each of the **ten** purses. The events will be for Arabian and Half-Arabian/Anglo-Arabian Amateur Owners and will consist of:
 - a. Western Pleasure AAO
 - b. Country Pleasure AAO
 - c. Hunter Pleasure AAO
 - d. English Pleasure AAO
 - e. Reining AAO

These events will be for horses 6 years of age and older and are open to horses who have been nominated as Breeding Entries. Sweepstakes prize money and the additional Jackpot prize money will be awarded 20% to the Champion, 10% to the Reserve Champion, and 8.75% to each of the remaining Top Ten. The payback percentages and implementation may be adjusted as deemed appropriate by the Arabian Breeders Sweepstakes Commission. Any Jackpot prize money not distributed shall be equally divided among all placings. Sweepstakes prize money not awarded will be retained in the Sweepstakes principal fund. The cost of the awards (trophies, plaques and ribbons) will be covered by the U.S. Nationals class entry fees. (BOD 11/15) The 50% rule does not apply to these classes.

11. The ABS \$15,000 Green Working Hunter Derby Championship will be held at the annual Sport Horse Nationals for Arabian and Half-Arabian/Anglo-Arabian horses. The Championship class is for Green Working Hunters A/HA/AA which are nominated as Breeding Entries who are eligible to compete as a green working hunter as outlined in the Arabian Working Hunter rules of USEF. No qualifications are required and the class is open to any age horse who are eligible to compete as a Green Working Hunter as outlined in the Arabian Working Hunter rules of USEF. The Championship consists of three sections with fence heights offered at both 2'6" and 2'9", and riders choose the height at which their horse(s) compete. First year horses may compete at either 2'6" or the 2'9" height but second year horses must compete at 2'9". All horses compete in the first two rounds in which they receive numerical scores. The first round is 10-12 fences with the second round over a shortened course of 6-8 fences. The course will include natural elements and options. The second round will be a Handy Hunter Course. The 12 horses (or less), including those tied for 12th, with the highest combined scores from the first two rounds advance to the third Under Saddle section. Only the top four horses overall from the first two rounds are eligible to be named Champion or Reserve Champion. The remaining horses are eligible to be named in the Top Ten. This class is exempt from the 50% rule.

SWEEPSTAKES YEARLING QUALIFICATIONS

12. Nomination or enrollment in the Sweepstakes Program does not qualify a horse for a Regional or National competition, except entries in Regional and National Yearling Sweepstakes classes.
 - a. There are no qualification requirements for the following four classes to be held in conjunction with each Regional Championship Horse Show other than that horses competing in these classes must have been nominated as Breeding Entries.
 - 1) Arabian Yearling Colt/Gelding Sweepstakes.
 - 2) Arabian Yearling Filly Sweepstakes.
 - 3) Half-Arabian/Anglo-Arabian Yearling Colt/Gelding Sweepstakes.
 - 4) Half-Arabian/Anglo-Arabian Yearling Filly Sweepstakes.
 - b. Regional Arabian and Half-Arabian/Anglo-Arabian yearlings that have won a 1st place in a Sweepstakes Class in one Regional Show cannot compete in the same class in any other Regional Show.
 - c. To qualify for U.S. Nationals, a Sweepstakes Breeding Entry must have placed as a Top Ten from a Regional Yearling Sweepstakes class. For Canadian and Sport Horse Nationals, no regional qualifications are required, the only requirement is that the yearlings must be enrolled as Breeding Entries.

SWEEPSTAKES DISTANCE PAYOUT

13. Sweepstakes prize money for Endurance Rides and Competitive Trail Rides will be paid to the following:
 - a. Each region may hold up to two recognized Regional Championship Endurance Rides which will be eligible for Sweepstakes prize money payout.
 1. One Championship ride must be at least 50 miles (or more) in length, not to exceed 72.5 miles.
 2. The second Championship ride must be 100 miles in length (where the actual measured distance is greater than 97.5 miles).

- b. Each region may hold a recognized Regional Championship Competitive Trail Ride which must be at least 25 miles (or more) in length.
- c. Sweepstakes payout at the National Championship Distance Rides will be paid as follows:
 1. Must be at least 50 miles (or more) in length, not to exceed 72.5 for one of the Championship Endurance Rides.
 2. Must be 100 miles in length (where the actual measured distance is greater than 97.5 miles) for the second Championship Endurance Ride.
 3. 40 and 50 miles for a one-day event or between 60 and 100 miles if run as a two-day event or between 100 or 120 miles if run as a three-day event for the Championship Competitive Trail Ride. (SWP 6/17)

SWP 117. PRIZES

Prize money will be reviewed annually based on nomination and enrollment fees. Any ties for placings will be awarded the same amount based off the tied placing. (i.e., horses tied for third place in a ten horse class will each receive the same amount of Prize money for third place)

GENERAL RULES

Only Sweepstakes nominated horses will be eligible for Sweepstakes awards.

1. On or before **August 30**, of each year the ABSC shall recommend the amounts to be awarded at the National and Regional shows/events designated to receive Sweepstakes prize money for the following calendar year. The number of awards and the amounts paid may change from year to year.
2. Prize Money Payout:
 - a. Prize money at the National and Regional shows will be paid only to the party whose name appears on a horse's Certificate of Registration for horses registered with AHA or whose name appears on the Sweepstakes Certificate for horses registered with CAHR or CPAR during the time of the show. Checks for prize money shall be mailed no later than 60 days upon receipt of complete results. (BOD 2/11)
 - b. The Sweepstakes Commission reserves the right to pay the dollar amounts printed in the AHA Handbook (or online version) in the advent the incorrect dollar amount is announced or presented in center ring. Any and all disputes which arise regarding the Sweepstakes program will be presented to the Sweepstakes Commission for resolution and their determination will be final.
 - c. Prize money checks for amounts less than \$25 will not be issued and will remain in the Sweepstakes principle. (SWP 2/16)
3. The Social Security Number or Federal Taxpayer Identification Number and all Sweepstakes requirements must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all Sweepstakes prize money will be forfeited. If enrollment requirements are not fulfilled by **December 31** of the calendar year following the year in which the prize money is won, the prize money shall be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by **December 31** of the year following the year in which the prize money was won, the prize money shall be forfeited.
4. Sweepstakes ribbons and prize money will be awarded to Sweepstakes designated classes at the Regional and National level according to the 50% rule.
5. When all Sweepstakes requirements are met, horses are automatically eligible to receive Prize Money in designated Regional and National Sweepstakes classes (East Coast Championships, Pacific Slope Championships, East and West Canadian Breeders Championships do not receive Prize Money).

6. For those classes eligible to be split into multiple divisions, the available Prize Money will be divided equally between the multiple divisions, i.e., age splits, types and levels.
7. The Regional Arabian and Half-Arabian/Anglo-Arabian Yearling Sweepstakes classes will continue to receive ribbons up to a Top Ten. (If there are ten or more horses, ribbons will be awarded to ten horses, if there are eight horses, ribbons will be awarded to eight horses and etc.) Effective January 1, 1994, providing there are at least ten horses in the class, the first five places in the class will be eligible for Sweepstakes prize money. If fewer than nine horses are in the class, 50% of the class, rounded up, will be eligible for Sweepstakes prize money.
8. Results will be recorded for both the Arabian and Half-Arabian/Anglo-Arabian Divisions for Regional and National Competitive Trail Rides and Endurance, payout will be according to the 50% rule.

SWP 118. DISTRIBUTION

The payout amounts will be determined by the Sweepstakes Commission on an annual basis.

REGIONAL & NATIONAL CLASSES

(BOD 10/14)

ARABIAN SWEEPSTAKES CLASSES	USN	CAN	SHN	Reg.
Arabian Yearling Colt	\$25,000	-		
Arabian Yearling Gelding	\$25,000	-		
Arabian Yearling Colt/Gelding	-	\$10,000	\$10,000	\$2,000
Arabian Yearling Filly	\$25,000	\$10,000	\$10,000	\$2,000
Arabian Colts 2 Years Old	\$3,500	\$2,000	\$1,500	
Arabian Geldings 2 Years Old	\$3,500	\$2,000	\$1,500	
Arabian Fillies 2 Years Old	\$3,500	\$2,000	\$1,500	
Arabian Geldings AOTH				\$1,000
Arabian Mares AOTH				\$1,000
Arabian English Pleasure Junior Horse	\$15,000	\$1,500		\$1,000
Arabian English Pleasure AAOTR				\$1,000
ABS Arabian English Pleasure AAOTR Jackpot	\$15,000 +			
AEPA Purebred Futurity	** \$5,000			
Arabian Country English Pleasure Junior Horse	\$15,000	\$1,500		\$1,000
Arabian Country English Pleasure AAOTR				\$1,000
ABS Arabian Country English PI AAOTR Jackpot	\$15,000 +			
Arabian Hunter Pleasure Junior Horse	\$15,000	\$1,500		\$1,000
Arabian Hunter Pleasure AAOTR				\$1,000
ABS Arabian Hunter PI AAOTR Jackpot	\$15,000 +			
Arabian Western Pleasure Junior Horse	\$15,000	\$1,500		\$1,000
Arabian Western Pleasure AAOTR				\$1,000
ABS Arabian Western PI AAOTR	\$15,000 +			
AWPA Purebred Futurity	** \$5,000			
Arabian Reining Horse Junior Horse	\$15,000	\$1,500		\$1,000
Arabian Reining Horse AAOTR				\$1,000
ABS Arabian Reining Horse AAOTR Jackpot	\$15,000 +			
Arabian Western Trail Junior Horse	\$1,500	\$1,000		\$600
Arabian Western Trail AAOTR				\$600
Arabian Working Cow Junior Horse	\$1,000			\$350
Arabian Working Cow AAOTR				\$350
Arabian Cutting Junior Horse	\$1,000			\$350
Arabian Cutting Non-Pro				\$350
Arabian Sport Horse Under Saddle AOTR				\$350
*Arabian Dressage Second Level AOTR				\$350
ABS Dressage Prospect Incentive Championship			\$15,000	
ABS Green Working Hunter Derby Championship			\$15,000	
Arabian Working Hunter AOTR				\$350
HA/AA Yearling Colt/Gelding	\$10,000	\$10,000	\$10,000	\$2,000

HA/AA Yearling Filly	\$10,000	\$10,000	\$10,000	\$2,000
HA/AA Gelding 2 Years Old	\$2,500	\$1,500	\$1,500	
HA/AA Fillies 2 Years Old	\$2,500	\$1,500	\$1,500	
HA/AA Gelding AOTH				\$1,000
HA/AA Mares AOTH				\$1,000
HA/AA English Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA English Pleasure AAOTR				\$1,000
ABS HA/AA English Pleasure AAOTR Jackpot	\$15,000 +			
AEPA HA/AA Futurity	** \$3,500			
HA/AA Country English Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Country English Pleasure AAOTR				\$1,000
ABS HA/AA Country English PI AAOTR Jackpot	\$15,000 +			
HA/AA Hunter Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Hunter Pleasure AAOTR				\$1,000
ABS HA/AA Hunter PI AAOTR Jackpot	\$15,000 +			
HA/AA Western Pleasure Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Western Pleasure AAOTR				\$1,000
ABS HA/AA Western PI AAOTR Jackpot	\$15,000 +			
AWPA HA/AA Futurity	** \$3,500			
HA/AA Reining Junior Horse	\$10,000	\$1,500		\$1,000
HA/AA Reining AAOTR				\$1,000
ABS HA/AA Reining AAOTR Jackpot	\$15,000 +			
HA/AA Western Trail Junior Horse	\$1,500	\$1,000		\$600
HA/AA Western Trail AAOTR				\$600
HA/AA Working Cow Junior Horse	\$1,000			\$350
HA/AA Working Cow AAOTR				\$350
HA/AA Cutting Junior Horse	\$1,000			\$350
HA/AA Cutting Non-Pro				\$350
HA/AA Sport Horse Under Saddle AOTR				\$350
*HA/AA Dressage Second Level AOTR				\$350
HA/AA Working Hunter AOTR				\$350

NATIONAL PAYOUT

PLACING	\$25,000	\$15,000	\$10,000	\$3,500	\$2,500	\$2,000	\$1,500	\$1,000
Champion	\$5,000	\$4,000	\$2,500	\$850	\$500	\$450	\$400	\$250
Reserve Champion	\$3,200	\$3,000	\$1,500	\$650	\$400	\$350	\$300	\$150
Remaining Top Ten	\$2,100	\$1000	\$750	\$250	\$200	\$150	\$100	\$75

REGIONAL PAYOUT (BOD 10/14)

PLACING	\$2,000	\$1,000	\$600	\$350
Champion	\$750	\$350	\$250	\$125
Reserve Champion	\$500	\$200	\$125	\$75
Remaining Top Five	\$250	\$150	\$75	\$50

Note: Exception: 50% rule does not apply to the National Yearling classes, the ABS AAOTR Jack Pot classes and the ABS Dressage Prospect Incentive class. These National classes will pay the eligible Sweepstakes foals/horses placing in the Top Ten.

Sweepstakes AAOTR classes are eligible to be split into multiple age divisions (must be all inclusive). Splitting AAOTR classes is not recommended as this reduces the Sweepstakes payout for each split. When Leveling AAOTR class(es) are offered for a discipline, they will receive the prize money in place of the AAOTR class(es).

*Highest test will be used for prize money.

**Bonus to be paid to the Breeder/Nominator if the horse is a valid Breeding Entry in the Arabian Breeders Sweepstakes Program

+ Includes Jackpot prize money plus the \$15,000 to be divided 20% to Champion, 10% to Reserve Champion, and 8.75% to the remaining Top Ten (see SWP 116.10)

DISTANCE PAYOUT (BOD 1/18)

PLACING	\$1500 - National	\$600 - Regional
Champion	\$400	\$250
Reserve Champion	\$300	\$125
Remaining Top Ten/Five	\$100	\$75

BREEDING INCENTIVE PROGRAM

SWP 119. SIRE PAYBACK PROGRAM

1. The owner of a Nominated Sire shall be awarded a payback equal to 5% of the Prize Money awarded to each of the sire's offspring enrolled as a Breeding or Yearling Entries at National and Regional events, provided that the Nominated Sire is enrolled in the Sweepstakes as a Nominated Sire at the time of the shows or events (Sire Payback only pertains to Nominated Sires enrolled prior to December 31, 2009) (BOD 2/11).
 - a. Nominated Sire owners are required to report all of the mare's bred by that sire, to be sent to the AHA office by **November 1st**.
 - b. A random winner for a free Breeding Entry will be selected from those stallion owners who submit their Sweepstakes Breeding Reports to the AHA office each year.
2. Sire Payback money will be paid only to the party whose name appears on a Nominated Sire's Sweepstakes Certificate or AHA Certificate of Registration on **December 31**.
3. Each year the Sweepstakes recognizes the Top Ten Nominated Sires in three categories. Those are:
 - a. Top Ten Juvenile Sires. Calculated by totaling the winnings of each Nominated Sire's Yearling offspring in the following classes over a period of one year:
 - 1) Arabian Yearling Colt/Gelding Sweepstakes.
 - 2) Arabian Yearling Filly Sweepstakes.
 - 3) Half-Arabian/Anglo-Arabian Yearling Colt/Gelding Sweepstakes.
 - 4) Half-Arabian/Anglo-Arabian Yearling Filly Sweepstakes.
 - b. Overall Top Ten Juvenile Sires. An accumulated total of all Nominated Sire's Yearling offspring earnings since 1984.
 - c. Top Ten Overall Nominated Sire Pay out. Calculated by totaling the Nominated Sire's offspring earnings in all Sweepstakes designated pay out classes and non-show ring events.

SWP 120. BREEDER/NOMINATOR PAYBACK PROGRAM

The original nominator of the Breeding Entry shall be awarded a payback equal to 10% of the Prize Money awarded to that entry at National and Regional events, provided that all Sweepstakes requirements have been met. (BOD 2/11) (BOD 8/14)