



ARABIAN BREEDERS SWEEPSTAKES

Join the **GREEN** Movement

FREQUENTLY ASKED QUESTIONS

Q. WHAT IS NEW WITH THE 2022 SWEEPSTAKES PROGRAM?

- The age of the horse for the ABS Arabian Sport Horse Under Saddle AOTR and ABS HA/AA Sport Horse Under Saddle Jackpot Classes at Sport Horse Nationals has changed from 4 years old and older to 7 years old and older..
- The HA/AA Gelding 2 Year Old Class at Sport Horse Nationals has been changed and will now be held as the HA/AA Colt/Gelding 2 Year Old Class.

Q. WHAT ARE THE OBJECTIVES FOR THE SWEEPSTAKES PROGRAM?

- Boost the level of participation in the program as well as create an incentive to increase breeding and showing participation
- Increase the value and stimulate the marketability of Arabian, Half-Arabian and Anglo-Arabian horses for all levels of competition
- Expand interest and participation from those who compete at the Regional level to those striving to breed a National Champion
- Reward breeders, who are at the core of our industry
- Ensure funds for future payouts and long-term viability

Q. WHAT ARE THE INCENTIVES FOR A BREEDING ENTRY?

- A. A Breeding Entry is entitled to receive allocated money at the Regional and National level in designated Sweepstakes Events (provided all Sweepstakes requirements are met) for the life of the horse. This is the only way to enroll a horse in the Sweepstakes Program to be eligible to earn prize money.

Q. WHAT ARE THE REQUIREMENTS TO ENROLL MY FOAL AS A BREEDING ENTRY?

- A. The foal must be by a Nominated Sire or out of a Nominated Dam. The AHA In-Utero Foal Application must be submitted by December 31st of the year the mare was bred. Payment in full or the first payment with a completed Deferred Billing Agreement must accompany the enrollment. Current AHA membership is required in order to enroll a foal as a Breeding Entry.

Q. MY SIRE/DAM IS ONLY ENROLLED AS A BREEDING ENTRY. ARE THEIR OFFSPRING ELIGIBLE TO BE ENROLLED AS BREEDING ENTRIES?

- A. No. As stated above, in order for a foal to be eligible as a Breeding Entry, either the sire or the dam must be enrolled as a Nominated Sire or a Nominated Dam.

Q. WHAT IS THE DIFFERENCE BETWEEN A NOMINATED DAM, NOMINATED SIRE AND A BREEDING ENTRY?

- A. The offspring of a Nominated Dam or Nominated Sire are eligible to be enrolled in-utero as a Breeding Entry. Horses enrolled as a Nominated Dam or Nominated Sire are not eligible to earn prize money. They are both lifetime enrollments. A Breeding Entry is enrolled in-utero and is eligible to earn prize money in Sweepstakes designated events for the life of the horse.

Q. WHAT IS THE BENEFIT TO ENROLLING MY MARE AS A NOMINATED DAM?

- A. If you breed your Nominated Dam to an Arabian or non-Arabian Stallion that is not enrolled as a Nominated Sire, the foal would be eligible to be enrolled as a Breeding Entry. The enrollment fee is \$1,000. The entry fee can be paid in full or placed on a Deferred Billing Agreement (provided all requirements are met). The enrollment is valid for the life of the horse. Payment and any applicable late fees must be submitted with the enrollment.

Q. WHAT ARE THE CURRENT FEES TO NOMINATE MY STALLION AS A NOMINATED SIRE OR NON-ARABIAN NOMINATED SIRE?

- A. If your stallion (Arabian or Half-Arabian/Anglo-Arabian) is already enrolled as a Breeding Entry in the Sweepstakes Program, the cost is \$1,000. The fee for all other stallions is \$2,000. The entry fee can be paid in full or placed on a Deferred Billing Agreement (provided all requirements are met.) The enrollment is valid for the life of the horse. Payment and any applicable late fees must be submitted with the Enrollment.

Q. IS THERE A PAYMENT OPTION FOR ME TO ENROLL MY HORSE(S) IN THE SWEEPSTAKES PROGRAM?

- A. Yes. AHA offers a Deferred Billing Agreement. Payment of the entry fee due for a Breeding Entry, Nominated Sire or Nominated Dam may be made pursuant to a Deferred Billing Agreement. Refer to Chapter 18 of the AHA Handbook for complete Information and requirements, or contact a Competition Program Representative at 303-696-4500, option #4. The first payment and any applicable late fees must be submitted with the enrollment application and signed Deferred Billing Agreement.

Q. WHO CAN SHOW IN SWEEPSTAKES CLASSES?

- A. Nominated and non-nominated horses can be shown in a Sweepstakes designated events at the Regional and National Level. However, some classes are restricted only to horses enrolled as a Breeding or Original Entry. The Regional and National Yearling Sweepstakes classes are restricted to Breeding Entries only. The ABS A/HA/AA Green Working Hunter Derby, ABS A/HA/AA Dressage Prospect Incentive and ABS Sport Horse Under Saddle AO Jackpot Classes at Sport Horse Nationals; the ABS AAO Jackpot Classes and ABS AAO Performance Halter Classes at U.S. Nationals are restricted to horses that are enrolled as a Breeding or Original Entry.

Q. HOW CAN I TELL IF A CLASS IS A SWEEPSTAKES CLASS?

- A. Sweepstakes classes will have a denotation at the front of the title. For complete list of Sweepstakes classes and events please refer to Sweepstakes chapter of the on-line AHA handbook. Denotation of a Sweepstakes Class does not guarantee eligibility for Sweepstakes payback.

Q. HOW DO SHOWS INCORPORATE THE SWEEPSTAKES CLASSES INTO THEIR SCHEDULES/PRIZE LISTS?

- A. Shows must denote the Sweepstakes designated classes in their prize lists. Event management is notified of Sweepstakes eligible classes and changes to the prize list which need to be made in order for the class to be recognized as a Sweepstakes Class. AHA requires show management to post any changes to classes in the show office or notify all exhibitors.

Q. WHAT WILL HAPPEN IN THE EVENT AN AAO CLASS IS SPLIT?

- A. Arabian Breeders Sweepstakes AAO classes are the *only* Sweepstakes Classes that may be split by age of the rider at the discretion of the show management. The ages for the classes must be all inclusive. The designated Prize Money for each AAO Class will be divided equally among the age splits. It is recommended that Regional Shows *do not* split AAO classes as this will reduce the available prize money for each class that is split by age. Leveling AAOTR classes will receive prize money in place of regular AAOTR Classes when offered. *No other Sweepstakes designated classes can be split. If they are split, they will not be eligible for prize money (including Junior Horse Classes.)*

Q. WHAT IS THE 50% RULE?

- A. This rule provides prize money payout to the top 50%, rounded up, based on the number of horses in a class at the Regional and National Level.

Sweepstakes Allocated Prize Money earned at the Regional and National Events will be issued based on the number of horses in the class/ride. At the Regional Level, if fewer than 9 horses are in a class/ride, 50% rounded up, will be eligible for prize money. At the National Level, if fewer than 19 horses are in a class/ride, 50% rounded up, will be eligible for prize money.

Exemption: National Yearling Sweepstakes Classes, ABS Dressage Prospect Incentive Class, ABS Green Working Hunter Derby and ABS Sport Horse Under Saddle AO Jackpot Classes at Sport Horse Nationals, ABS AAO Jackpot Classes and the ABS AAO Performance Halter Classes at U.S. Nationals will receive payout for a full Top Ten.

Q. CAN A SWEEPSTAKES CLASS EVER BE A TBA CLASS?

- A. No. Sweepstakes classes must be in the schedule as regular classes. Any exempt Sweepstakes Regional Classes added as a TBA are not eligible for Sweepstakes Prize Money.

Q. WHAT IS BREEDER / NOMINATOR PAYBACK?

- A. The Breeder/Nominator is the individual(s) who enrolls the foal in-utero in the Sweepstakes program as a Breeding Entry. This person will receive 15% of all allocated prize money earned by that entry at Regional and National Events provided all Sweepstakes requirements are met. Breeder/Nominator payback is for the lifetime of the horse. The Breeder/Nominator does not have to be the recorded owner of the mare. Current AHA membership is as of December 31 required in order to receive prize money.

Q. WHAT ARE THE MEMBERSHIP REQUIREMENTS FOR PAYOUT?

- A. Owners must have a current base level membership to receive payout and hold a competition card if they are competing. For those horses owned by a business, a Business Membership is sufficient. For Breeder/Nominator and Nominated Sire Payback (for those eligible), a current base membership as of December 31st of the competition year is required in order for prize money to be issued. Prize money will be issued to the recorded owner of the horse at the time of the show.

In the event that a horse earns prize money (including breeder/nominator payback) and the recorded owner or breeder/nominator is deceased or the business/farm is defunct, the prize money cannot be reissued to another individual/entity and will be retained by the Sweepstakes principal fund.

Q. WHEN CAN I EXPECT TO RECEIVE MY SWEEPSTAKES CHECKS?

- A. Sweepstakes Prize Money at the Regional and National Level will be issued within 60 days of completed results. Breeder/Nominator and Nominated Sire Payback cannot be calculated until the end of the Competition year. This payback will be issued within 60 days of receipt of completed results for the competition year.

All requirements must be met in order to receive Sweepstakes Prize Money.

Q. I WAS AWARDED A TOP FIVE AT A REGIONAL SHOW AND DID NOT RECEIVE PAYBACK. WHY?

- A. For horses participating in Regional Events, payout will be issued up to five places based on the 50% rule. Regional Shows have the option to award five placings based on the 50% rule for qualification purposes only, or they may award a full Top Five in all classes, regardless of how many entries are competing at the close of the gate. This information must be clearly stated in the Regional Prize List. For Example, if a Regional Show awards a full Top Five for qualifications and your horse places 5th in a class of 5, Sweepstakes prize money will only be issued to 50% of the class, or through 3rd place.

Q. I WAS AWARDED A TOP TEN IN A SWEEPSTAKES CLASS AT A NATIONAL SHOW. WHY DIDN'T I GET A CHECK?

- A. Even though a full Top Ten is awarded at National Shows, Sweepstakes Allocated Prize Money is paid out based on the 50% rule. Exemption: ABS Yearling Classes, ABS Dressage Prospect Incentive Class, ABS Green Working Hunter Derby and ABS Sport Horse Under Saddle AO Jackpot at Sport Horse Nationals, ABS AAO Jackpot Classes and the ABS AAO Performance Halter Classes at U.S. Nationals will receive payout for a full Top Ten.

Q. IT WAS ANNOUNCED AND/OR PRESENTED AT THE SHOW THAT I WOULD RECEIVE A SPECIFIC DOLLAR AMOUNT IN A SWEEPSTAKES CLASS. I RECEIVED A DIFFERENT AMOUNT. WHY?

- A. The Sweepstakes Program is not responsible for incorrect announcements and/or presentations at National Shows. All rules which deal with Competitions and Sweepstakes can be found in the current AHA Handbook under Chapters 11, 12, 14 and 18.

The amount of prize money awarded can be affected by the number of horses entered and/or shown in a class. Final calculations of prize money is conducted after the National Show after placings, enrollments, etc have been verified at the AHA office.

Q. WHAT IF I NOTICE THAT MY RESULTS ARE INCORRECT IN A SWEEPSTAKES CLASS?

- A. If your show or ride results are incorrect, please notify the Event Secretary from that show/ride immediately. Allocated class results need to be adjusted within 60 days of receipt of completed results. It is the exhibitor's responsibility to verify these results and notify Event Secretaries to provide correct results or notify AHA of any discrepancies.

Q. I SUBMITTED AN APPLICATION TO ENROLL MY EMBRYO TRANSFER FOAL AS A BREEDING ENTRY. HOW CAN I MAKE SURE THAT THE NOMINATION IS LINKED TO THE REGISTRATION OF MY FOAL?

- A. In the event there are multiple breedings by the same sire/dam cross, the first registration application(s) received for that particular cross by AHA will be linked to the in-utero record(s) unless otherwise indicated at the time the application for registration is received.

Q. I ENROLLED MY FOAL AS A BREEDING ENTRY AND THE MARE LOST THE FOAL/DID NOT HAVE A FOAL. CAN I GET A REFUND OR IS A CREDIT AVAILABLE?

- A. The entry fee for a Breeding Entry is non-refundable; however a credit voucher can be issued. A death certificate for the foal signed by an

accredited veterinarian on letterhead or official documentation which identifies the mare by registered name, registration number and the year she was to foal must be submitted. AHA will then issue a Dead Foal Credit in the form of a voucher. The Dead Foal Credit Voucher can be used toward a future Sweepstakes Entry. In order to enroll a future entry, a new AHA In-Utero Foal Application and must be submitted with the Dead Foal Credit Voucher or signed vet statement prior to the enrollment deadline.

Q. I HAVE A DEAD FOAL CREDIT THAT I WILL NEVER USE. CAN I GET A REFUND FOR MY ENTRY?

A. The entry fee for a Breeding Entry is non-refundable, but can be transferred for any following year to a foal of any mare under the same ownership. You do have the option of selling the Dead Foal Credit to another member. AHA would then require written notification from the owner of the Dead Foal Credit that authorizes AHA to use the Dead Foal Credit for an entry listed under a different ownership. A new AHA enrollment application and the Dead Foal Credit Voucher must be submitted prior to the enrollment deadline.

Q. WHY DO I NEED TO FILL OUT A W-9 FORM?

A. Prize money payouts are federally reportable as income. Therefore, a Tax ID must be associated with each account that receives payout. A Tax ID (TIN or Social Security Number) reported on form W-9 is required for the release of any such payment regardless of the amount. If a W-9 form for said account is not on file, the payout will be placed on hold until the form is received. If this information is not provided within 120 days after notification, all Sweepstakes Prize Money will be forfeited.

Q. I PROVIDED A W-9 IN THE PAST FOR MY SWEEPSTAKES PRIZE MONEY. WHY AM I BEING ASKED TO COMPLETE ANOTHER ONE?

A. Each unique AHA account that receives prize money must have a W-9 on file. It is possible to have two or more AHA accounts that have a unique information (i.e. horse, owners, etc.). Therefore, a separate W-9 is required for each account. This is especially important for joint accounts. Payees on a joint account have the choice of which owner will assume the tax liability and file the tax return should one be required. The only reason a W-9 would be requested is due to the fact a Tax ID is not on file for that specific account/ownership.

Q. I EARNED THE PRIZE MONEY LAST YEAR BUT I AM GETTING THE CHECK IN FEBRUARY/MARCH OF THIS YEAR. WILL THIS AFFECT MY TAX RETURN FOR LAST YEAR?

A. The tax liability is assessed in the year the payment is actually made. Although the prize money was earned last year, the payment is made in the early part of this year so it does not affect last year's taxes. Form 1099-Misc is used to report the payment to both the payee and the IRS for the tax year beginning January and ending December 31st. The 1099 will reflect only the payments actually made in that year, regardless of when the prize money was earned.

Q. WHERE CAN I FIND ENROLLMENT FORMS OR GET MORE INFORMATION?

A. Enrollment forms are available online at www.arabianhorses.org/Sweeps, or you can contact a Competition Services Representative at AHA at 303-696-4500, option #4, and a Competition Services Representative can assist you with forms and/or questions.



ARABIAN
BREEDERS SWEEPSTAKES

Earn your Green

For complete rules regarding the Arabian Breeders Sweepstakes Program, please refer to Chapter 18 of the AHA Handbook.